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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. B-1479
First Inventor or Application Identifier AnnaLee Y. Tonkovich
Title A chemical reactor & method for gas phase...
Express Mail Label No. EJ017935625US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 13]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
- Oath or Declaration [Total Pages]
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

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- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Copy
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ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☒ * Small Entity Statement(s) [Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)]
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17. CORRESPONDENCE ADDRESS

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PATENT

File No. B-1479

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Anna Lee Y.Tonkovich, Yong Wang, Sean P. Fitzgerald, Jennifer L. Marco, Gary L. Roberts, David P.Vanderwiel, and Robert S. Wegeng

Serial or Patent No.: _____

Filed or Issued: _____

For: A CHEMICAL REACTOR AND METHOD FOR GAS PHASE REACTANT CATALYTIC REACTIONS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION Battelle Memorial Institute
Pacific Northwest Division
ADDRESS OF ORGANIZATION Post Office Box 999, Richland, WA 99352

TYPE OF ORGANIZATION:

[X] Nonprofit Scientific or Educational Under Statute of State of the
United States of America
(Name of State Ohio)
(Citation of Statute Sections 1719.01 and 1719.05, Rev. Code
of Ohio)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled A CHEMICAL REACTOR AND METHOD FOR GAS PHASE REACTANT CATALYTIC REACTIONS by inventor(s) Anna Lee Y.Tonkovich, Yong Wang, Sean P. Fitzgerald, Jennifer L. Marco, Gary L. Roberts, David P.Vanderwiel, and Robert S. Wegeng, described in

[] application executed _____
[X] specification filed herewith
[] application serial no. _____, filed _____
[] patent no. _____, issued _____.

05/13/04 14:54:50

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Paul W. Zimmerman
TITLE OF ORGANIZATION Contracting Officer, Pacific Northwest Division,
Battelle Memorial Institute
ADDRESS OF PERSON SIGNING Post Office Box 999, Richland, WA 99352

SIGNATURE Paul W. Zimmerman DATE 99/AUG/17

664750-1705260

5 **A CHEMICAL REACTOR AND METHOD FOR GAS PHASE REACTANT
 CATALYTIC REACTIONS**

 FIELD OF THE INVENTION

10 The present invention is a chemical reactor and method for gas phase
reactant catalytic reactions.

 As used herein, the term "molecular diffusion" is used in its classic sense
of the transfer of mass based upon Brownian motion between adjacent layers of
fluid in laminar, transition, or turbulent flow, and includes transfer of mass
15 between adjacent layers of fluid that are stagnant.

 As used herein, the term "Knudsen diffusion" means Knudsen flow, or free
molecule flow, wherein the mean free path of the molecules is long compared to
a characteristic dimension of the flow field, for example the pore size of a
material through which the molecules are diffusing.

20

 BACKGROUND OF THE INVENTION

 Many catalytic reactions begin with gas phase reactants, for example
steam reforming, partial oxidation, water gas shift and others. However,
25 equipment, specifically reactor volume is generally large because of mass and
heat transfer limitations. Conventional reactors are operated with a gas hourly
space velocity from about 1,000 to about 10,000 hr⁻¹. In other words, residence
time is greater than 1 second because of the heat and mass transfer limitations.

 These problems have been recognized and research is considering
30 microchannel reactors because the microchannels have been shown to offer less

resistance to heat and mass transfer thus creating the opportunity for dramatic reductions in process hardware volume. Several types of microchannel reactors have been described in the literature.

5 Franz et al., 1998 and Lowe et al., 1998 report applying a coating of the active catalyst (such as Pt, Ag, or other noble metal) directly to the microchannel wall. This approach has the disadvantage that the only usable surface area is that of the microchannel wall.

Weissmeier and Honicke, 1998a-b report creating a porous interface directly from the microchannel wall material onto which the catalyst is deposited.
10 An aluminum wall was anodized to create the porous alumina interface that had an average pore diameter in the nanometer size range (permitting only Knudsen diffusion) and a thickness in the range of tens of microns. Disadvantages of this approach include that it is only applicable for aluminum, and limited surface area. The anodized walls formed a two-dimensional array of 700 identical
15 microchannels.

Tonkovich/Zilka et al., 1998 reported packing catalytic powders directly within an array of parallel microchannels as a packed microbed. A disadvantage was a tendency to create relatively large pressure drops by forcing the fluid to flow through the packed microbed.

20 Tonkovich/Jimenez et al., 1998 reported placing a palladium catalyst supported on a metallic nickel foam within a cavity (more than an order of magnitude larger than a microchannel) and then sending the effluent to an array of microchannels to exchange heat. Again, a disadvantage was large pressure drop through the metal foam.

25 Hence, there is a need for a chemical reactor for catalytic reactions with fast kinetics that has a small reactor volume with a low pressure drop.

BACKGROUND REFERENCES

30 Franz, A.J., Quiram, D., Srinivasan, R., Hsing, I-M., Firebaugh, S. L., Jensen, K. F., and M.A. Schmidt, 1998, New Operating Regimes and Applications Feasible

with Microreactors, Proceedings of the Second International Conference on Microreaction Technology, New Orleans, LA, p 33-38.

5 Lowe, H., Ehrfeld, W., Gebauer, K., Golbig, K., Hausner, O., Haverkamp, V., Hessel, V., and Richter, Th., 1998, Microreactor Concepts for Heterogeneous Gas Phase Reactions, Proceedings of the Second International Conference of Microreaction Technology, March 1998, New Orleans, Louisiana, p. 63-74.

10 Tonkovich, A. Y., Zilka, J. L., Powell, M. R., and C. J. Call, 1998, The Catalytic Partial Oxidation of Methane in a Microchannel Chemical Reactor, Proceedings of the Second International Conference of Microreaction Technology, March 1998, New Orleans, LA, p. 45-53.

15 Tonkovich, A. Y., Jimenez, D. M., Zilka, J. L., LaMont, M., Wang, Y., and R. S. Wegeng, 1998, Microchannel Chemical Reactors for Fuel Processing, Proceedings of the Second International Conference of Microreaction Technology, March 1998, New Orleans, LA, p. 186-195.

20 Weissmeier, G., and Honicke, D., 1998a, Strategy for the Development of Micro Channel Reactors for Heterogeneously Catalyzed Reactions, Proceedings of the Second International Conference on Microreaction Technology, New Orleans, LA, p. 24-32.

25 Weissmeier, G., and Honicke, D., 1998b, Microreaction Technology: Development of a microchannel reactor and its application in heterogeneously catalyzed hydrogenation, Proceedings of the Second International Conference on Microreaction Technology, New Orleans, LA, p. 152-153.

SUMMARY OF THE INVENTION

30 The present invention is a chemical reactor and method for catalytic chemical reactions having gas phase reactants. The chemical reactor has at least one reactor microchannel defining a bulk flow path through which at least one reactant passes, and at least one product, and a catalyst material wherein at least one reactant contacts the catalyst material and reacts to form at least one
35 product. The improvement, according to the present invention is:

 the catalyst material is in a porous structure having a porosity that permits molecular diffusion therein. The porous structure further has a length, a width and a thickness, the porous structure defining at least a portion of at least one wall of the at least one microchannel.

It is an object of the present invention to provide a chemical reactor for gas phase reactant catalytic reactions.

It is an object of the present invention to provide a method for gas phase reactant catalytic reactions.

5 A significant advantage of the present invention is the reduced pressure drop because the flow through the bulk flow path passes and contacts the porous structure but is not required to flow through the porous structure. Sufficient reaction still occurs because of the net flux through molecular diffusion into (gas phase reactant(s)) and out of (product(s)) the porous structure. Gas hourly
10 space velocity is unexpectedly greater than $10,000 \text{ hr}^{-1}$ corresponding to residence time less than 1 second.

 The subject matter of the present invention is particularly pointed out and distinctly claimed in the concluding portion of this specification. However, both the organization and method of operation, together with further advantages and
15 objects thereof, may best be understood by reference to the following description taken in connection with accompanying drawings wherein like reference characters refer to like elements.

20 BRIEF DESCRIPTION OF THE DRAWINGS

 FIG. 1 is a cross section of a microchannel with a porous material therein.

 FIG. 2 is a cross section of the porous material with catalyst material thereon.

25 FIG. 3 is a cross section of microchannels defined by porous material.

 FIG. 4a is a cross section of a microchannel with porous material surrounded by heat transfer microchannels.

 FIG. 4b is a cross section of microchannels with porous material with a heat transfer microchannel therebetween.

30 FIG. 5 is an isometric view of a cylindrical porous material.

FIG. 6 is a cross section of the porous material with a second porous material on porous surface area.

FIG. 7a is a block diagram of a microchannel steam reforming system.

FIG. 7b is an end view of a microchannel reactor.

5

DESCRIPTION OF THE PREFERRED EMBODIMENT(S)

The present invention includes a chemical reactor for a catalytic chemical reaction with at least one gas phase reactant. The reactor (**FIG. 1**) has at least one reactor microchannel **100** for flow of at least one reactant **102** and at least one product **104**. The improvement according to the present invention is that the reactor further has a porous structure **106** wherein the at least one reactant **102** reacts to form the at least one product **104**. The porous structure **106** has pores **200** or porosity that resists bulk flow (reactant **102**, product **104**) therethrough and permits molecular diffusion (reactant portion **102a**, product portion **104a**) therein. The pores **200** are defined by porous surface area **202** upon which the catalyst material **108** resides. The porous material **106** further has a length **L**, a width (not shown) and a thickness **T**, and defines at least a portion of at least one wall of a bulk flow path through which the at least one reactant **102** passes.

A microchannel may be of any cross section defining the bulk flow path and is characterized by a characteristic dimension less than 1 mm.

In operation, the at least one reactant **102** enters the at least one reactor microchannel **100** in the bulk flow path, flowing past and in contact with the porous material **106**. A portion of the at least one reactant **102a** molecularly diffuses transversely into the porous catalyst **106** and reacts wherefrom the at least one product **104a** molecularly diffuses transversely into the bulk flow path thereby transporting the at least one product **104** from the reactor.

Gas phase reactant catalytic reactions include but are not limited to steam reforming, CO₂ reforming partial oxidation, chlorination, fluorination, hydrogenation, dehydrogenation, nitration, water gas shift, reverse water gas

shift, autothermal reforming, combustion, hydrocracking and hydrodesulfurization. In steam reforming, gas hourly space velocity is greater than 10,000, preferably greater than 50,000, and may be about 100,000 corresponding to a residence time of less than 10 milliseconds.

- 5 In a preferred embodiment, the width of the bulk flow path is less than or equal to about 1 mm. The thickness **T** of the porous structure **106** is less than or equal to about 1 mm, and the length **L** preferably corresponds to a length of the microchannel of less than or equal to about 10 cm. The width of the porous structure **106** may vary but is at least about 20% and preferably at least about
10 50% of the circumference of the bulk flow path.

- The porous material **106** may be a catalytic material, for example catalytic metal or ceramic in the form of a foam or a felt. Alternatively, the porous material **106** may be a porous support of a non-catalytic material with catalytic material **108** placed thereon. Porosity may be geometrically regular as in a honeycomb or
15 parallel pore structure, or porosity may be geometrically tortuous or random. Porosity may range from about 30% to about 98% with average pore size less than the smallest microchannel dimension. Preferably pore size is from about 0.1 μm to about 200 μm permitting molecular diffusion.

- The porous material **106** alone may define the microchannels as in FIG. 3.
20 In this embodiment, it is possible to use a heat transfer fluid provided that the porous material **106** thickness **T** is sufficient to prevent mixing of the at least one reactant **102** or at least one product **104** with the heat transfer fluid. Alternatively, products may diffuse through the porous material **106** into a secondary fluid (not shown) to be collected.

- 25 The porous material **106** may be placed adjacent a wall **400** of a non-porous material, for example metal, as in FIG.'s **4a**, **4b** as an insert that may be removable. The use of non-porous walls **400** permits the use of a heat transfer fluid **402** that is different from the at least one reactant **102** and/or the at least one product **104**. The heat transfer fluid **402** may flow countercurrent, cocurrent,

crosscurrent or combinations thereof in relationship to the at least one reactant **102** and/or the at least one product **104**.

The present invention includes cylindrical geometry as shown in **FIG. 5**. The inside diameter represents the microchannel and has a diameter of less than or equal to about 1 mm. The cylinder may be of any closed cross sectional shape. Multiple cylinders may be used. Cylinders may be formed as holes through a monolithic block of porous material **106**. Alternate holes may be used for reactant/product and heat transfer fluid.

It is necessary that residence time of reactant(s) in the reactor be greater than the diffusion time for reactant(s) to contact the catalyst material. Pressure drop across the reactor preferably ranges from about 0.1 psi to about 5 psi.

Another embodiment is shown in **FIG. 6** wherein the porous material **106** is a first porous material having a second porous material **600** on porosity surface area **202**. The catalyst material **108** resides in the second pores **602**. The second pores **602** are smaller than the pores **200**, wherein the second pores **602** Knudsen diffusion occurs predominately.

Example 1

An experiment was conducted to demonstrate the present invention using 1 microchannel for methane steam reforming. The microchannel was placed within a tube furnace to provide the required endothermic reaction heat. The microchannel was 1.52-cm long and 0.66-cm high. The width (or opening) of the microchannel was 0.0762-cm or 762-microns. The 0.0762-cm width included two porous structures that covered opposite walls and each had a width of 254-microns leaving a bulk flow path between the two porous structures of 254 microns. The porous structure contained a catalyst of 13.8%-Rh/6%-MgO/Al₂O₃ on a metal felt of stainless steel obtained from Technetics, Deland, Florida.

The methane inlet flowrate was 50.3-cc/min at standard conditions and the water (liquid) flowrate was 7.3 mL/hr, corresponding to a steam to carbon ratio of approximately 3:1. The methane and water were preheated to near the reaction

temperature before entering the microchannel. Gas flow was in the bulk flow path between the porous structures had a Reynold's number less than about 500, and molecular diffusion brought reactants and products to and from each pore surface containing the catalyst.

- 5 Results are shown in Table E1-1 of performance as a function of temperature for very short residence times (less than 10-milliseconds).

10

Table 1-1: Performance data in single microchannel

Temperature	Residence time (milliseconds)	Methane conversion (%)	CO selectivity (%)	Pressure drop (psi)
650	2.3	54.2	42.3	0.05
700	2.2	70.9	50.9	0.13
800	2.0	88.9	65.4	0.45
900	1.8	89.6	74.6	1.00

15 Example 2

- An experiment was conducted to demonstrate the present invention. The apparatus (**FIG. 7a**) included a fuel combustor **700**, water vaporizer **702**, a reactant preheat heat exchanger **704**, and a steam-reforming unit **706**. The steam reforming unit **706** was constructed with 12 parallel channels (**FIG. 7b**) **1**,
 20 **2, 3 . . . 12** each of length 2.79 cm, height 2.54 cm, and width of 750 microns. The porous structure **106** was felt metal of stainless steel with a porosity ranging from 35% to 90% obtained from Technetics, Orlando, Florida, having a width of about 250 micron. Pieces of metal felt with length and height nearly equal to the
 25 channels leaving a bulk flow path of about 250 microns in each channel. The reactor microchannels were interspersed with heat exchange channels **a, b, c . . . m** to provide the endothermic reaction heat. The adjacent (and interleaved)

parallel heat exchange microchannels (13 total) were hermetically sealed with respect to the reaction microchannels to prevent reactant by-pass around the catalyst material. The heat exchange microchannels had nearly the same height and length as the reaction microchannels. The width of each heat exchange channel was 508-microns. The heat exchange fluid **402** within the steam-reforming unit was the combustion product of hydrogen (20 SLPM feed) and excess air (168 SLPM feed). The combustion product **402** was fed in a cross-flow configuration to the direction of reactant flow **102**.

Reactants were methane and water in the form of steam at flow rates of 1 SLPM and 2.81-SLPM (or 2.26-ml/min liquid) respectively. Gas hourly space velocity was approximately $100,000 \text{ hr}^{-1}$ corresponding to a residence time of 9.2 milliseconds. Reactor inlet temperature was 736 °C receiving a mixture of methane and steam. The pressure drop through the microchannel steam-reforming unit was less than 0.6 psi.

Product conversion of methane was 79% and selectivity to CO was 65%.

CLOSURE

While a preferred embodiment of the present invention has been shown and described, it will be apparent to those skilled in the art that many changes and modifications may be made without departing from the invention in its broader aspects. The appended claims are therefore intended to cover all such changes and modifications as fall within the true spirit and scope of the invention.

CLAIMS

5

We claim:

1. A chemical reactor for a catalytic chemical reaction with at least one gas phase reactant, said chemical reactor, comprising:

- 10 (a) at least one reactor microchannel of at least one wall defining a bulk flow path through which said at least one gas phase reactant passes, and at least one product;
- (b) a catalyst structure wherein said at least one gas phase reactant contacts said catalyst structure and reacts to form said at least one product, wherein said catalyst structure has;
- 15 (c) a porous material having a first porosity that permits molecular diffusion therein, said porous material further having a length, a width and a thickness, and a porosity surface area, said porous material defining at least a portion of said at least one wall of said at least one reactor microchannel; wherein
- 20 said at least one reactant entering said at least one reactor microchannel in said bulk flow path, flowing past and in contact with said porous material, a portion of said at least one reactant molecularly diffusing transversely into said porous material and reacting therein wherefrom said at least one product molecularly diffuses transversely into said bulk flow path thereby transporting said at least
- 25 one product from said reactor.

2. The chemical reactor as recited in claim 1, wherein at least 20% of a circumference of said bulk flow path is defined by said porous material.

30 3. The chemical reactor as recited in claim 2, wherein at least 50% of said circumference of said bulk flow path is defined by said porous material.

4. The chemical reactor as recited in claim 1, further comprising at least one heat transfer microchannel adjacent said reactor microchannel.

5 5. The chemical reactor as recited in claim 1, wherein said porous material is a catalytic material.

6. The chemical reactor as recited in claim 1, wherein said catalyst structure comprises said porous material as a non-catalytic material with a
10 catalytic material on said porosity surface area.

7. The reactor as recited in claim 1, wherein said catalyst structure comprises a second porous material having a second porosity on said porosity surface area, said second porosity permitting Knudsen diffusion, said catalyst
15 material on said second porous material.

8. The reactor as recited in claim 1, wherein said catalyst structure is an insert.

20 9. The reactor as recited in claim 8, wherein said insert is removable.

10. A method for a catalytic chemical reaction with at least one gas phase reactant, said method having the steps of flowing said at least one gas phase reactant past a catalyst material and reacting said at least one gas phase
25 reactant to form at least one product; wherein the improvement comprises:

(a) providing said catalyst material as a porous structure having a porosity that permits molecular diffusion therein, said porous structure further having a length, a width and a thickness, said porous structure defining at least a portion of at least one wall of a microchannel defining a bulk flow path through
30 which said at least one reactant passes;

5 (b) flowing said at least one reactant through said microchannel, past and in contact with said porous structure containing said catalyst material, a portion of said at least one reactant molecularly diffusing transversely into said porous structure and reacting therein wherefrom said at least one product molecularly diffuses transversely into said bulk flow path thereby transporting said at least one product from said reactor.

10 11. The method as recited in claim 10, wherein said catalytic reaction is selected from the group consisting of steam reforming, CO₂ reforming partial oxidation, chlorination, fluorination, hydrogenation, dehydrogenation, nitration, water gas shift, reverse water gas shift, autothermal reforming, combustion, hydrocracking and hydrodesulfurization.

15 12. The method as recited in claim 10, wherein a gas hourly space velocity is greater than 10,000 corresponding to a residence time less than 1 second.

13. The method as recited in claim 10, further comprising at least one heat transfer microchannel adjacent said reactor microchannel.

ABSTRACT

5 The present invention is a chemical reactor and method for catalytic
chemical reactions having gas phase reactants. The chemical reactor has reactor
microchannels for flow of at least one reactant and at least one product, and a
catalyst material wherein the at least one reactant contacts the catalyst material
and reacts to form the at least one product. The improvement, according to the
10 present invention is: the catalyst material is on a porous material having a
porosity that resists bulk flow therethrough and permits molecular diffusion
therein. The porous material further has a length, a width and a thickness, the
porous material defining at least a portion of one wall of a bulk flow path through
which the at least one reactant passes.

15

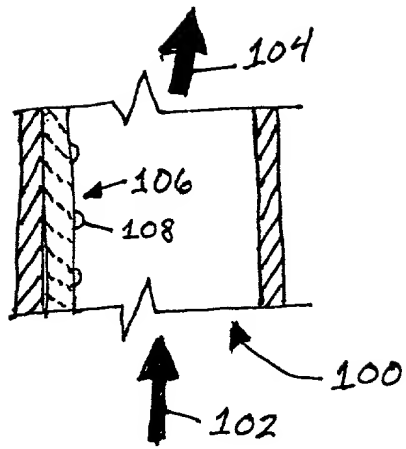


FIG. 1

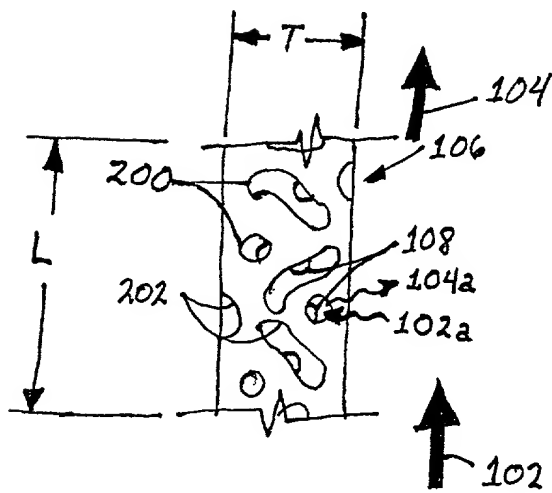


FIG. 2

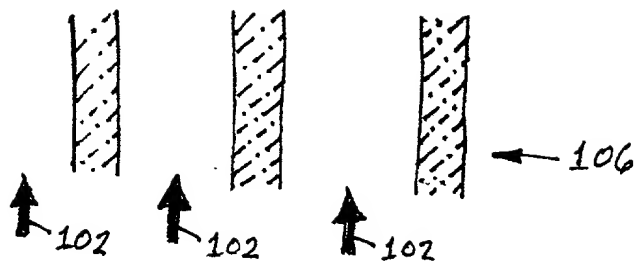


FIG. 3

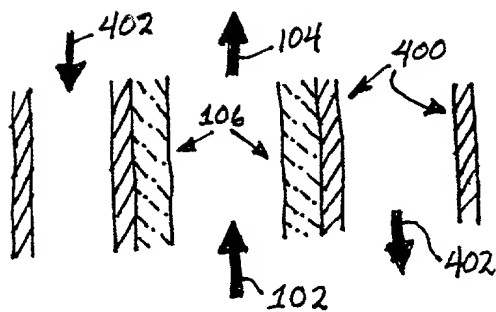


FIG. 4a

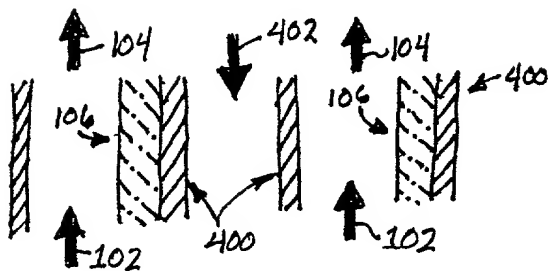


FIG. 4b

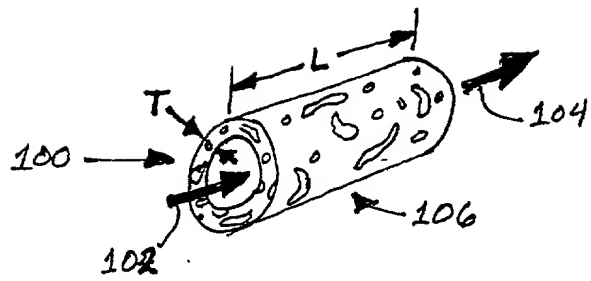


FIG. 5

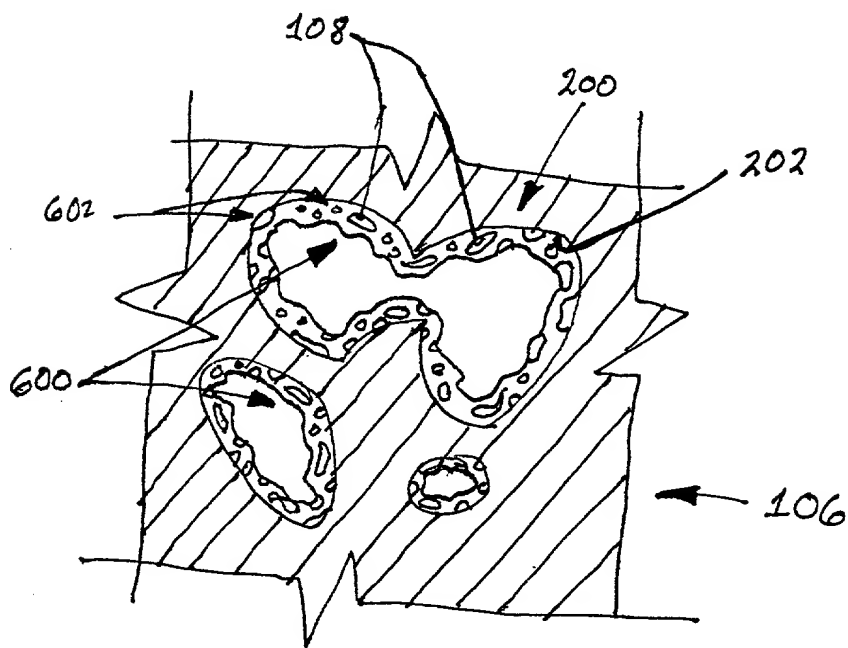


FIG. 6

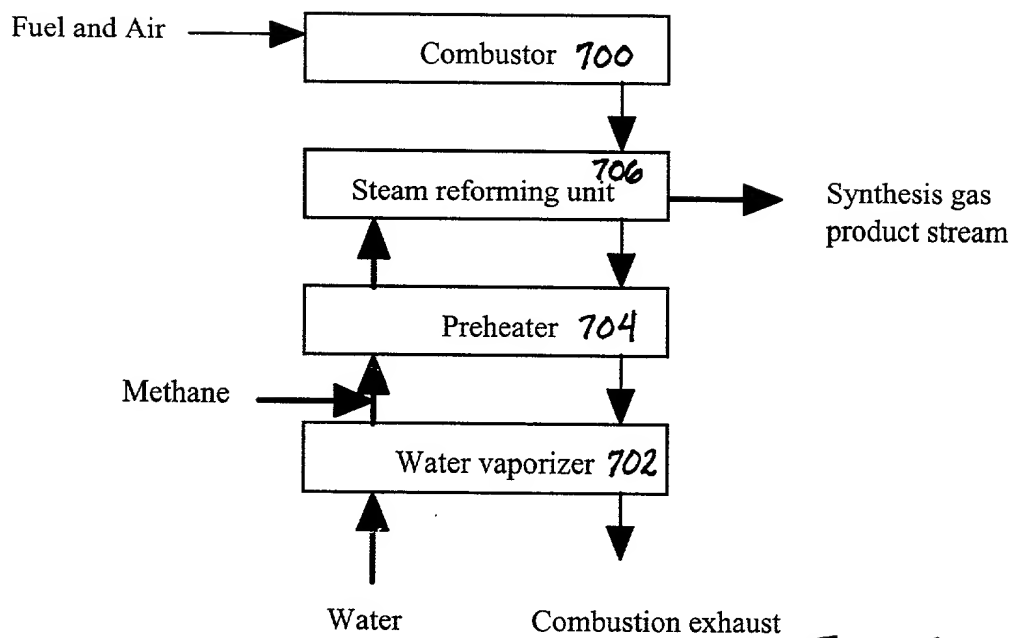


FIG. 7a

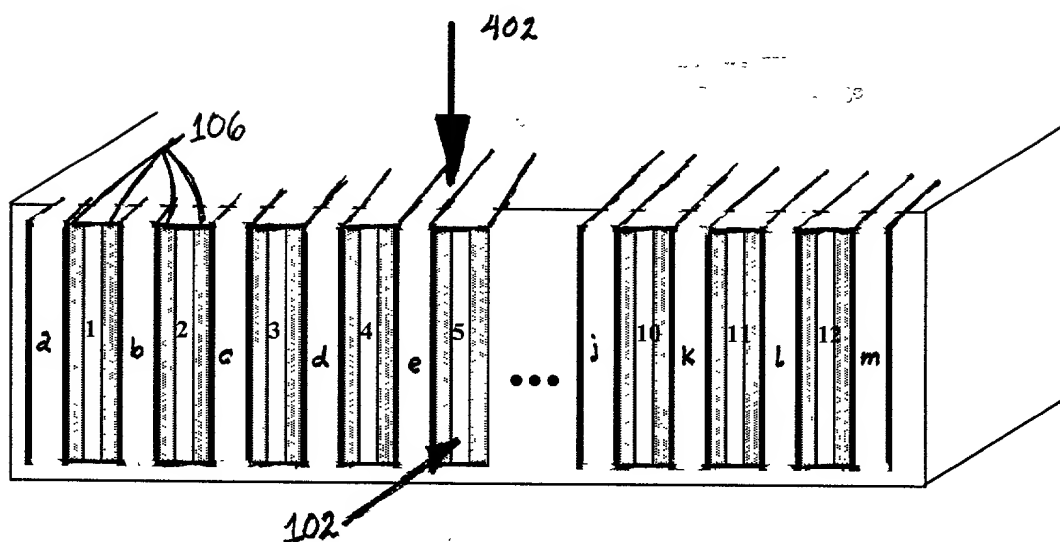


FIG. 7b